MORRISON & FOERSTER LLP

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To: Examine: Ralph Gitomer - GAU 1651

U.S. Paten and Trademark Office

Facsimile: 703-872-9306

Telephone: 571-272-0916

From: Grace Yu for Laurie L. Hill, Ph.D.

Date:

April 28, 2004

We are transmitting a total of 11 pages (including this page). Original or hard copy to follow if this box is checked \square .

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Preparer of this slip has confirmed that facsimile number given is correct: 10598/gly1

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Comments:

FLEASE DELIVER ASAP

ATTORNEY DOCKET:

43272-20026.01

GROUP ART UNIT:

1651

EXAMINER:

R. Gitomer 10/050,425

SERIAL NO.:

January 15, 2002

FILING DATE: INVENTOR(S):

Gregory R. MUNDY et al.

TITLE:

INHIBITORS OF PROTEASOMAL ACTIVITY FOR STIMULATING

HAIR GROWTH

Dear Examiner Citomer:

Pursuant to our conversation, attached are the following papers:

- 1. Copy of the Notice of Allowance and Fee(s) Due mailed by the PTO on April 19, 2004
- 2. Copy of Amendment After Final Action mailed April 9, 2004
- 3. Return receipt postcard confirming receipt of Item 2 by the PTO.

Kindly review the Notice of Allowance and documents with respect to Claim 2 which should have been allowed. We look forward to receiving the corrected Notice of Allowance as soon as possible.

Sincerely,

Grace

Unite) States Patent and Trademark Office

MORRISON & FOERSTER
UNITED STATES DEPARTMENT OF COMMERCE
United States Patend and Trademark Office
Address: COMMISSIONER FOR FATENTS
P.O. Box 1450
Alexandria, Virguia 22313-1450
Alexandria, Virguia 22313-1450

NOTICE OF ALLOWANCE AND FEE(S) DUE

25225

MORRISON & FOI RSTER LLP 3811 VALLEY CENT RE DRIVE SUITE 500 SAN DIEGO, CA 921 10-2332

EXAMINER GITOMER, RALPH J ART UNIT PAPER NUMBER

1651 DATE MAILED: 04/19/2004

APPLICATION NO.

FILING DATE

FIRST NAMED INVENTOR

ATTORNEY DOCKET NO.

CONFIRMATION NO.

10/050,425

01/15/2002

Gregory R. Mundy

432722002601

7663

TITLE OF INVENTION: INHIF ITORS OF PROTEASOMAL ACTIVITY FOR STIMULATING HAIR GROWTH

| APPLN. TYPÉ | SMALL ENTITY | ISSUE FEE | Publication fee | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | 5665 | \$300 | \$965 | 07/19/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION 1.3 SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE AP!'LICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MU IT BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS AB. NDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL EN' TTY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the sam: pay the TOTAL FEE(S) DUE shown

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the a rount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

ETED: RECONSIDER 1770 M

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUPLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. It you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra cor y of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMIND ER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is parentee's responsibility to ensure timely payment of maintenance fees when duc.

Page 1 of 3

PTOL-85 (Rev. 11/03) Approved for use through 04/30/2004.

MOFO SAN DIEGO

PART B - FEE(S) TRANSMITTAL

Complete and send this 'orm, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE AD TRESS (Note: Legibly mark-up with may corrections or use Block 1) Note: A certificate of mailing can only be used for demostic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 25225 7590 04/19/2004 MORRISON & FOFRSTER LLP Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient possage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. 3811 VALLEY CENT RE DRIVE **SUITE 500** \$AN DIEGO, CA 921 10-2332 (Depositor's name) (Dore APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/050,425 01/15/2002 Gregory R. Mundy 432722002601 7661 TITLE OF INVENTION: INHIF ITORS OF PROTEASOMAL ACTIVITY FOR STIMULATING HAIR GROWTH APPLN. TYPE SMALL ENTITY ISSUE PER PUBLICATION PEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES **\$665** \$965 07/19/2004 EXAMINE ART UNIT CLASS-SUBCLASS GITOMER, RALPH J 514-012000 Change of correspondence add ess or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single ☐ Change of correspondence Address (or Change of Correspondence Address form PTO/SB/122) a tached. fam (having as a member a registered attorney or agent) and the names of up to 2 registered patent Ci "Foe Address" indication (c "Fee Address" Indication form PTO/SB/47; Rev 03-02 or ms re recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RE: IDENCE DATA TO BE PRINTED ON THE PATENT (print or typo) PLEASE NOTE: Unless an a signer is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to 1 to USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filling an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assi nee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are encl sed: 4b. Payment of Fee(s): ☐ Issue Fee A check in the amount of the fee(s) is enclosed. ☐ Payment by credit card. Form PTO-2038 is anached. D Publication Fee O The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). Advance Order - # of Copi s Director for Patents is requested 13 apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE: The Issue Fee and Pul lication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. This collection of information s required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the unount of time you require to complete this form and/or suggestions for reducing this b rides, should be sent to the Chief Information Officer, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SENIC FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for P nents, Alexandria, Virginia 22313-1450.

TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (Rev. 11/03) Approve for use through 04/30/2004.

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OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patest and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22211-1450

| APPLICATION NO. | | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------|------|-------------|----------------------|---------------------|------------------|
| 10/050,425 | | 01/15/2002 | Gregory R. Mundy | 432722002601 | 7663 |
| 25225 | 7590 | 04/19/2004 | | EXAMINER | |
| MORRISON & FOFRSTER LLP | | | GITOMER RALPH J | | |
| 3811 VALLEY | CENT | EE DRIVE | | ART UNIT | PAPER NUMBER |
| SUITE 500 SAN DIEGO, CA 921 | | 0-2332 | | 1651 | |
| | | | | | |

DATE MAILED: 04/19/2004

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 143 day(s). If the issue fee is paid on the date that is three months after the mailing date of this 1 otice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mail ng date of this notice, the Patent Term Adjustment will be 143 day(s).

If a Continued Prose ution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Ter n Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Custon er Service Center of the Office of Patent Publication at (703) 305-8283.

| | | Application No. | Applicant(s) | | |
|--|---|--|---|--|--|
| | ^F Allowability | 10/050,425 | MUNDY ET AL. | | |
| Notice o | | Examiner | Art Unit | | |
| | | | | | |
| | | Ralph Gitomer | 1651 | | |
| All claims being allowable, Pherewith (or previously maile NOTICE OF ALLOWABILIT | DATE of this communication appe ROSECUTION ON THE MERITS IS (d), a Notice of Allowance (PTOL-85) (IS NOT A GRANT OF PATENT RIG by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this apport of other appropriate communication GHTS. This application is subject to | olication. If not included | | |
| 1. This communication is | responsive to amendment of 4/9/04. | | | | |
| 2. 🔀 The allowed claim(s) i | /are <u>1 and 7-13</u> . | | | | |
| 3. The drawings filed on | are accepted by the Examiner | | | | |
| a) 🔲 All b) 🔲 Sc | nade of a claim for foreign priority und me* c) None of the: | | | | |
| | opies of the priority documents have | | | | |
| | opies of the priority documents have | • | | | |
| | the certified copies of the priority doc | uments have been received in this r | ational stage application from the | | |
| Internation | al Bureau (PCT Rule 17.2(a)). | | | | |
| * Certified copies not :: | ecelved: | | | | |
| | THS FROM THE "MAILING DATE" o ely comply will result in ABANDONME OD IS NOT EXTENDABLE. | | complying with the requirements | | |
| | OR DECLARATION must be submit PPLICATION (PTO-152) which gives | | | | |
| 6. CORRECTED DRAWLS | IGS (as "replacement sheets") must | be submitted. | | | |
| | required by the Notice of Draftsperso | | 48) attached | | |
| |) D to Paper No./Mail Date | | , | | |
| | required by the attached Examiner's | Amendment / Comment or in the Of | fice action of | | |
| Paper No./Mail Dat | e | | | | |
| identifying indicia such as t each sheet. Replacement sh | he application number (see 37 CFR 1.8 lect(s) should be labeled as such in the | 4(c)) should be written on the drawing header according to 37 CFR 1.121(d | gs in the front (not the back) of). | | |
| | INFORMATION about the deposing regarding REQUIREMENT For | | | | |
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| Attachment(s) | | | | | |
| 1. Notice of References C to | ed (PTO-892) | 5. Notice of Informal Pa | tent Application (PTQ-152) | | |
| | Patent Drawing Review (PTO-948) | 6. Interview Summary (I | | | |
| 3. Information Disclosure Statements (PTO-1449 or PTO/SB/08). | | Paper No./Mail Date | | | |
| Paper No./Mail Date | | <u>-</u> | i | | |
| L Examiner's Comment F e | garding Requirement for Deposit | 8. 🔲 Examiner's Statemen | t of Reasons for Allowance | | |
| of Biological Material | | 9. [_] Other | Restones | | |
| | | | | | |
| | | | Ralph Gitomer | | |
| | | | Primary Examiner Art Unit: 1651 | | |
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U.S. Palent and Trademark Office PTOL-37 (Rev. 1-04)

Notice of Allowability

Part of Paper No./Mail Date 20040415

Application/Control Number: 10/050,425

Art Unit: 165 |

Page 2

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1 312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

In the specification, at the top of page 1, line 2, "pending" has been deleted and replaced by --US Patent No. 6,410,512--.

In line 3, "pending" has been deleted and replaced by --US Patent No. 6,462,019--.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ralph Gitomer whose telephone number is (571) 272-0916. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nichael Wityshyn can be reached on (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Page 3

Application/Control Number: 10/050,425

Art Unit: 1651

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ralph Gitomer Primary Examiner Art Unit 1651

Restones

WARY EXAMINER GROUP 1200

Inventor:

Gregory R. MUNDY et al.

Atty Docket No.: 432722002601

Application No.: 10/050,425 Title:

Filing Date: January 15, 2002

INHIBITORS OF PROTEASOMAL ACTIVITY FOR STIMULATING HAIR

Documents Filed: Transmittal (1 page)

RECEIVED

APR 19 2004

Fee Transmittal, in duplicate (2 pages)

Terminal Disclaimer (1 page)

MORRISON & FOERSTER SAN DIEGO

Amendment After Final (37 CFR 1.116) (3 pages)

Associate Power of Attorney (2 pages)

Via: Express Mail: Airbill No. EL 961 007 611 US Sender's Initials: LLH12/rm3

Date: April 9, 2004